



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION IX
75 Hawthorne Street
San Francisco, CA 94105

October 19, 2011

Terry J. Hathaway
For Hathaway Company
FX-6 Personal Privacy

Patrick N. Park
For Hathaway Company
FX-6 Personal Privacy

Re: 104 (e) Request for Information Related to Omega Chemical Corporation
Superfund Site; Real Property Located at 10607 Norwalk Boulevard,
Santa Fe Springs, CA

Dear Messrs. Hathaway and Park:

The United States Environmental Protection Agency ("EPA") is spending public funds to investigate and respond to the release or threatened release of hazardous substances into the soil and groundwater at the Omega Chemical Corporation Superfund Site (the "Site"). The Site includes the former location of a used solvent and refrigerant recycling, reformulation, and treatment facility ("Omega Chemical") located at 12504 and 12512 Whittier Blvd. in Whittier, California. The term "Site", as used herein, refers to both the former Omega Chemical property and the areal extent (i.e., plume) of contaminated groundwater emanating from the Omega Chemical property.

In order to facilitate cleanup of hazardous substances at the Site, EPA divided the Site into three operable units ("OUs"): OU-1, OU-2 and OU-3. OU-1 is the former Omega facility and immediate vicinity. OU-2 is the extent of contamination in groundwater that originated from the former Omega facility and now extends more than four miles downgradient of OU-1. The contamination in groundwater has commingled with chemicals released at other source areas. OU-3 refers to indoor air contamination from the Omega Site that has occurred in several buildings near the Omega Chemical property.

Groundwater investigations to date suggest that operations at various facilities in the area, in addition to the former Omega Chemical facility, have contributed to groundwater contamination

through the use of volatile organic compounds (“VOCs”), including perchloroethylene (“PCE”), trichloroethylene (“TCE”), Freons 11 and 113, and other contaminants.

As part of its ongoing investigation of the Site, EPA is seeking to determine the nature and extent of contamination at the Site, to assess the effects of contamination on the environment and public health, and to identify activities and parties that have or may have contributed to contamination at the Site. EPA believes that you may have information that may assist EPA in its investigation.

We request that you provide a complete and truthful written response to this information request and questions in Enclosure B **within thirty (30) calendar days** of your receipt of this letter. Instructions on how to respond to the questions are described in Enclosure A. Please return your written response to:

Keith Olinger, SFD-7-5
U.S. Environmental Protection Agency, Region IX
Superfund Division
75 Hawthorne Street
San Francisco, California 94105

Under Section 104(e) of the Comprehensive Environmental Response, Compensation, and Liability Act (“CERCLA”), 42 U.S.C. §9604(e), EPA has broad information-gathering authority which allows EPA to require persons to furnish information or documents relating to:

- (a) The identification, nature, and quantity of materials which have been or are generated, treated, stored, or disposed of at a vessel or facility or transported to a vessel or facility;
- (b) The nature or extent of a release or threatened release of a hazardous substance or pollutant or contaminant at or from a vessel or facility; and
- (c) Information relating to the ability of a person to pay for or perform a cleanup.

Please note that your compliance with this information request is mandatory. Failure to respond fully and truthfully may result in an enforcement action by EPA pursuant to Section 104(e)(5) of CERCLA, 42 U.S.C. §9604(e)(5). This statutory provision authorizes EPA to seek the imposition of penalties of up to \$37,500 per day of noncompliance. Please be further advised that provision of false, fictitious or fraudulent statements or representations may subject you to criminal penalties under 18 U.S.C. §1001. The information you provide may be used by EPA in administrative, civil or criminal proceedings.

Some of the information EPA is requesting may be considered by you to be confidential business information (“CBI”), as described in Title 40 of the Code of Federal Regulations, Subchapter A, Part 2, Subpart B (40 C.F.R., §2.201, *et seq.*). Please be aware that you may not withhold the information upon that basis. If you wish EPA to treat the information

confidentially, you must advise EPA of that fact by following the procedures outlined in Enclosure A, including the requirement for supporting your claim for confidentiality.

This request for information is not subject to review by the Office of Management and Budget ("OMB") under the Paperwork Reduction Act because it is not an "information collection request" within the meaning of 44 U.S.C. §§3502(3), 3507, 3512, and 3518(c)(1). See also 5 C.F.R. §§1320.3(c), 1320.4, and 1320.6(a). Furthermore, it is exempt from OMB review under the Paperwork Reduction Act because it is directed to fewer than ten persons. 44 U.S.C. §3502(4), (11); 5 C.F.R. §§1320.4 and 1320.6(a).

Your response should include the appropriate name, address, and telephone number of the person to whom EPA should direct future correspondence in regard to this matter on behalf of your company.

If you have questions regarding this information request, please contact Steve Berninger, Assistant Regional Counsel, at (415) 972-3909, or Keith Olinger, Enforcement Officer, at (415) 972-3125. If you have questions about the history of the Site, the nature of the environmental conditions at the Site, or the status of cleanup activities, please contact Lynda Deschambault at (415) 947-4183.

We appreciate and look forward to your prompt response to this information request.

Sincerely,

A handwritten signature in cursive script that reads "Kathi Moore".

Kathi Moore, Manager
Case Development/Cost Recovery Section
Site Cleanup Branch
Superfund Division

Enclosures (2)

cc: Steve Berninger, EPA
Lynda Deschambault, EPA
Keith Olinger, EPA

ENCLOSURE A: INSTRUCTIONS AND DEFINITIONS

Instructions:

1. Answer Each Question Completely. A separate response must be made to each of the questions set forth in this Information Request. For each question contained in this letter, if information responsive to this Information Request is not in your possession, custody, or control, please identify the person(s) from whom such information may be obtained.
2. Number Each Answer. When answering the questions in Enclosure B, please precede each answer with the corresponding number of the question and subpart to which it responds.
3. Number Each Document. For each document produced in response to this Information Request, indicate on the document, or in some other reasonable manner, the number of the question to which it corresponds.
4. Provide the Best Information Available. Provide responses to the best of Respondent's ability, even if the information sought was never put down in writing or if the written documents are no longer available. You should seek out responsive information from current and former employees/agents. Submission of cursory responses when other responsive information is available to the Respondent will be considered non-compliance with this Information Request.
5. Identify Sources of Answer. For each question, identify (see Definitions) all the persons and documents that you relied on in producing your answer.
6. Continuing Obligation to Provide/Correct Information. If additional information or documents responsive to this Request become known or available to you after you respond to this Request, EPA hereby requests pursuant to CERCLA Section 104(e) that you supplement your response to EPA.
7. Scope of Request. The scope of this request includes all information and documents independently developed or obtained by research on the part of your company, its attorneys, consultants or any of their agents, consultants or employees.
8. Confidential Information. The information requested herein must be provided even though you may contend that it includes confidential information or trade secrets. You may assert a confidentiality claim covering part or all of the information requested, pursuant to Sections 104(e)(7)(E) and (F) of CERCLA, 42 U.S.C. §§9604(e)(7)(E) and (F), and Section 3007(b) of RCRA, 42 U.S.C. §6927(b), and 40 C.F.R. §2.203(b). If you make a claim of confidentiality for any of the information you submit to EPA, you must prove that claim. For each document or response you claim confidential, you must separately address the following points:

- a. clearly identify the portions of the information alleged to be entitled to confidential treatment;
 - b. the period of time for which confidential treatment is desired (e.g., until a certain date, until the occurrence of a specific event, or permanently);
 - c. measures taken by you to guard against the undesired disclosure of the information to others;
 - d. the extent to which the information has been disclosed to others, and the precautions taken in connection therewith;
 - e. pertinent confidentiality determinations, if any, by EPA or other federal agencies, and a copy of any such determinations or reference to them, if available; and
 - f. whether you assert that disclosure of the information would likely result in substantial harmful effects on your business' competitive position, and if so, what those harmful effects would be, why they should be viewed as substantial, and an explanation of the causal relationship between disclosure and such harmful effects.
 - g. To make a confidentiality claim, please stamp, or type, "confidential" on all confidential responses and any related confidential documents. Confidential portions of otherwise nonconfidential documents should be clearly identified. You should indicate a date, if any, after which the information need no longer be treated as confidential. Please submit your response so that all nonconfidential information, including any redacted versions of documents are in one envelope and all materials for which you desire confidential treatment are in another envelope.
 - h. All confidentiality claims are subject to EPA verification. It is important that you satisfactorily show that you have taken reasonable measures to protect the confidentiality of the information and that you intend to continue to do so, and that it is not and has not been obtainable by legitimate means without your consent. Information covered by such claim will be disclosed by EPA only to the extent permitted by CERCLA Section 104(e). If no such claim accompanies the information when it is received by EPA, then it may be made available to the public by EPA without further notice to you.
9. Disclosure to EPA's Authorized Representatives. Information which you submit in response to this Information Request may be disclosed by EPA to authorized representatives of the United States, pursuant to 40 C.F.R. 2.310(h), even if you assert that all or part of it is confidential business information. The authorized representatives of EPA to which EPA may disclose information contained in your response are as follows:

Department of Toxic Substances Control/California
Environmental Protection Agency

ENCLOSURE A

Toeroek Associates, Inc.
EPA Contract Number EP-BPA-11-W-001

Science Applications International Corporation
EPA Contract Number EP-BPA-11-W-001

CH2M Hill, Inc.
EPA RAC Contract Number EP-S9-08-04

GRB Environmental Services Inc.
Contract Number EP-R9-06-03

ITSI, Inc.
EPA RAC Contract Number EP-S9-08-03

Techlaw
EPA ROC Contract Number EP-W-07-066

Any subsequent additions or changes in EPA contractors who may have access to your response to this Information Request will be published in the Federal Register.

This information may be made available to these authorized representatives of EPA for any of the following reasons: to assist with document handling, inventory, and indexing; or to assist with document review and analysis for verification of completeness; or to provide expert technical review of the contents of the response. Pursuant to 40 C.F.R. §2.310(h), you may submit comments on EPA's disclosure of any confidential information contained in your response by EPA to its authorized representatives along with the response itself, within the thirty (30) calendar day period in which the response is due.

10. Objections to Questions. If you have objections to some or all of the questions contained in the Information Request letter, you are still required to respond to each of the questions.

Definitions:

1. The term “you” or “Respondent” should be interpreted to include the addressee of this Information Request, the addressee's officers, managers, employees, contractors, trustees, successors, assigns and agents.
2. The term “person” shall include any individual, firm, unincorporated association, partnership, corporation, trust, joint venture, or other entity.
3. The term “waste” or “wastes” shall mean and include trash, garbage, refuse, by-products, solid waste, hazardous waste, hazardous substances, and pollutants or contaminants, whether solid, liquid, or sludge.
4. The term “hazardous waste” shall have the same definition as that contained in Section 1004(5) of RCRA.

5. The term “hazardous substance” shall have the same definition as that contained in Section 101(14) of CERCLA, and includes any mixtures of such hazardous substances with any other substances, including mixtures of hazardous substances with petroleum products or other nonhazardous substances.
6. The term “release” has the same definition as that contained in Section 101(22) of CERCLA, and includes any spilling, leaking, pumping, pouring, emitting, emptying, discharging, injecting, escaping, leaching, dumping or disposing into the environment, including the abandonment or discharging of barrels, containers and other closed receptacles containing any hazardous substance or pollutant or contaminant.
7. The term “pollutant or contaminant” shall have the same definition as that contained in Section 101(33) of CERCLA and include any mixtures of such pollutants and contaminants with any other substance including petroleum products.
8. The term “materials” shall mean all substances that have been generated, treated, stored, or disposed of or otherwise handled at or transported to the Site including, but not limited to, all hazardous substances, pollutants or contaminants.
9. The term “documents” includes any written, recorded, computer generated or visually or aurally reproduced material of any kind in any medium in your possession, custody, or control or known by you to exist, including originals, all prior drafts, and all non-identical copies.

ENCLOSURE B: QUESTIONS (INFORMATION REQUEST)

1. State the full legal name, address, telephone number, position(s) held by, and tenure of, the individual(s) answering any of these questions on behalf of Hathaway Company, and/or any of its related, predecessor or successor companies, including Pyramid Oil Company, concerning the facility formerly located at 10607 Norwalk Boulevard, Santa Fe Springs, California (the "Property"). It should be noted that this Request is not limited solely to 10607 Norwalk Boulevard, Santa Fe Springs, California. Rather, this Request shall include any and all parcels and street addresses in the area of 10607 Norwalk Boulevard (designated with Assessor's Parcel Numbers 8009-025-008, 8009-025-067, 8009-025-069, and 8009-025-070) where your operations occurred. EPA research indicates that Hathaway Company operated at a location or locations which include the properties with the current street addresses 10623 Fulton Wells Avenue, Santa Fe Springs, California, 10628 Fulton Wells Avenue, Santa Fe Springs, California, and 10629 Norwalk, Santa Fe Springs, California. Information obtained by EPA indicates that as part of the Pyramid Oil Company Reorganization Plan of December 31, 1985, Pyramid Oil Company's historical records associated with the mineral interest leases and real properties, including the area subject to this 104(e) request for information, were transferred to Hathaway Company. Therefore, please provide any information in your possession relating to Pyramid Oil Company in response to each question.
2. State whether Hathaway Company is a past owner of the Property or any part thereof. If so, provide a copy of the deed or other recorded instrument of conveyance evidencing ownership of the Property or any part thereof. As part of your response, identify the specific dates you owned the Property or any part thereof.
3. If Hathaway Company is a past owner of the Property or any part thereof, and if at any time during its ownership it rented or leased the Property or any part thereof to any individuals or entities, provide the name of such individuals or entities, the respective dates Hathaway Company rented or leased the Property or any part thereof to each individual or entity and a copy of the lease(s), rental agreement(s), and/or any other document(s) governing each leasehold relationship.
4. If Hathaway Company is a past owner of the Property or any part thereof, identify all individuals or entities that owned the Property or any part thereof prior to or subsequent to any ownership by Hathaway Company, and provide the name, address, and phone number of those individuals or entities.
5. Provide an executed copy of the Agreement and Plan of Reorganization and Corporate Separation dated as of December 31, 1985 which split-off Hathaway Company from Pyramid Oil Company.

6. Identify and explain all of your business operations at the Property or any part thereof, including such information as the number of employees, the size of the facility, dates of operation, product(s) manufactured, and a description of the daily activities. Include a historical perspective of all changes in operations over time. As part of your answer, identify the specific street address and/or Assessor's Parcel Number ("APN") of each location where you conducted operations, and include a historical perspective of all changes to street addresses over the course of your operations at or near the Property or any part thereof.
7. Identify any prior, concurrent, and subsequent operators at the Property or any part thereof. Provide the dates each business operated and describe the types of operations that occurred at the Property or any part thereof. Provide copies of all environmental documents and facility information in your possession regarding prior, concurrent, and subsequent operators at the Property or any part thereof.
8. At the time of Hathaway Company's operations and ownership at the Property or any part thereof, provide a scaled map which includes the locations of significant buildings and features. Indicate the locations of any maintenance shops, hazardous material or waste storage area(s), waste treatment area(s), sumps, pits, ponds, machine shops, degreasers, liquid waste tanks, clarifiers, chemical storage tanks, and fuel tanks. Provide a physical description of the Property and identify the following:
 - a. Surface structures (e.g., buildings, tanks, containment, and/or storage areas, etc.);
 - b. Subsurface structures (e.g., underground tanks, sumps, pits, clarifiers, etc.);
 - c. Groundwater and dry wells, including drilling logs, date(s) of construction or completion, details of construction, uses of the well(s), date(s) the well(s) was/were abandoned, depth to groundwater, depth of well(s), and depth to and of screened interval(s);
 - d. Past and present stormwater drainage system and sanitary sewer system, including septic tank(s) and subsurface disposal field(s);
 - e. Any and all additions, demolitions, or changes of any kind to physical structures on, under or about the Property or to the property itself (e.g., excavation work), and state the date(s) on which such changes occurred; and
 - f. Indicate the location of all waste storage or waste accumulation areas, waste disposal areas, dumps, leach fields, burn pits, and any other disposal locations.
9. If any hazardous substances/materials/wastes and/or substances containing Trichloroethylene (TCE), Tetrachloroethylene (PCE), Chromium, 1,1,1-Trichloroethane (TCA), 1,2-Dichloroethene, 1,1-Dichloroethene, 1,1-Dichloroethane, 1,4-Dioxane, Vinyl Chloride, Chloroform, or Perchlorate were utilized in any of Hathaway Company's operations at the Property or any part thereof during the entire period since 1920, provide

a complete description of those operations (current or discontinued) and provide the following:

- a. The trade or brand name, chemical composition, and quantity used for each chemical or hazardous substance, and the relevant Material Safety Data Sheet for each product, and its period of use;
 - b. A description of the process in which the hazardous substance is or was stored, used, manufactured, generated, or produced (including any current or discontinued processes);
 - c. The location(s) where each chemical or hazardous substance is or was used, stored, and disposed of. In addition, identify the kinds of wastes (e.g., hazardous materials, spent solutions, tank bottoms, scrap metal, solvents, waste water), quantities, and methods of disposal for each chemical or hazardous substance;
 - d. A description of the waste streams from all processes in which any such hazardous substance is or was used, manufactured, generated, or produced;
 - e. Copies of all permits for storage, treatment, or disposal of any waste stream from any process in which any hazardous substance is or was used, manufactured, generated, or produced; and
 - f. Copies of all manifests governing hazardous substances generated by your operations at the Property.
10. Provide copies of all hazardous material business plans and chemical inventory forms (originals and updates) submitted to city, county, and/or state agencies and all manifests governing hazardous substances generated by your operations at the Property or any part thereof.
 11. Provide copies of any and all documents evidencing your environmental practices at the Property or any part thereof, including, but not limited to, documents setting forth your waste management practices and procedures and summarizing spills and/or leaks of any hazardous materials or waste from, but not limited to, equipment, tanks, or containment. As part of your response, include any and all letters of enforcement from all regulatory agencies concerning operations or events at the Property or any part thereof, and inspection notes, citizen complaints, and formal notices of violation.
 12. Provide copies of all technical or analytical environmental information, including, but not limited to, any known releases of hazardous substances to soil or water and any data and documents related to water sampling (ground and surface), soil sampling, or soil gas sampling on or at the Property or any part thereof. As part of your response, include any and all letters of enforcement from any regulatory agency concerning operations or events at the Property or any part thereof and inspection notes, citizen complaints, and formal notices of violation.

13. Provide copies of all information and documentation related to approval of any remediation or cleanup activities conducted during your ownership or operations at the Property or any part thereof.
14. Provide a list of employees who had knowledge of the use and disposal of hazardous substances at the Property or any part thereof during the entire time period that Hathaway Company, or any of its predecessors, successors, subsidiaries, affiliates, contractors, trustees, assigns or agents, was associated with the Property or any part thereof. For each employee listed, provide the following information:
 - a. The employee's full name;
 - b. The employee's current or last known address(es) and telephone number(s), including the last known date on which you believe each address and telephone number was current;
 - c. Identify the entire time period that the employee worked at the facility; and
 - d. The position(s) the employee held with each business entity during his or her entire period of employment at the facility and the year or years that the employee held each listed position.